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Certificate of Mailing

I hereby certify that on June 10, 2004, this correspondence and any attachments are being deposited with the United States Postal Service as First Class Mail, postage pre-paid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jeff Beno

41 44 45(76)

PATENT

Atty. Docket No. 35512-56

RECEIVED

JUN 2 2 2004

GROUP 3600

In The United States Patent And Trademark Office

In re Application of:

M. CHAPMAN FINDLAY III, ET AL.

Serial No.: 09/692,748

Filed: October 19, 2000

For: Asset Price Forecasting

Group Art Unit: 3628

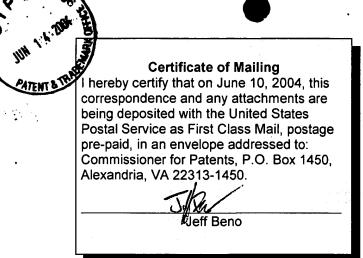
Examiner: Nguyen, Nga B.

AMENDMENT/RESPONSE TO OFFICE ACTION

Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated March 12, 2004, please amend the aboveidentified application as follows:



PATENT Atty. Docket No. 35512-56

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

M. CHAPMAN FINDLAY III, ET AL.

Serial No.: 09/692,748

Filed: October 19, 2000

For: Asset Price Forecasting

Group Art Unit: 3628

Examiner: Nguyen, Nga B.

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment/Response to Office Action in the aboveidentified application and the following:

- □ No additional claim fee is required.
- Additional fees are required as calculated and shown below:

	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Small Entity Rate	Small Entity Fee	Large Entity Rate	Large Entity Fee
Total	35	32	3	x \$9.00	\$27.00	x \$18.00	
Independent	3	3	0	x \$42.00		x \$84.00	
1st Presentation of Multiple Dependent Claim				x \$140.00		x \$280.00	
	-			TOTAL:	\$27.00	TOTAL:	

- ☐ A Petition for [] One; [] Two; [] Three Month Extension of Time and the requisite fee is being filed concurrently herewith.
- Other attachments: <u>Check in the amount of \$27.00 for additional claim fees.</u>
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-3735. A duplicate copy of this sheet is enclosed.
 - Any additional filing fees required under 37 C.F.R. 1.16.
 - Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

MITCHELL, SILBERBERG & KNUPP LLP

Dated: June 10, 2004

Joseph G. Swan

Registration No. 41,338

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